Working Memory Maturation: Can We Get at the Essence of Cognitive Growth?

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Abstract

The theoretical and practical understanding of cognitive development depends on working memory, the limited information temporarily accessible for such daily activities as language processing and problem solving. In this article, I assess many possible reasons that working memory performance improves with development. A first glance at the literature leads to the weird impression that working memory capacity reaches adult levels during infancy but then regresses during childhood. In place of that unlikely explanation, I consider how infant studies may lead to overestimates of capacity if one neglects supports that the tasks provide, compared with adult-level tasks. Further development of working memory during the school years is also considered. Many investigators have come to suspect that working memory capacity may be constant after infancy because of various factors such as developmental increases in knowledge, filtering out of irrelevant distractions, encoding and rehearsal strategies, and pattern formation. With each of these factors controlled, though, working memory still improves during the school years. Suggestions are made for research to bridge the gap between infant and child developmental research, to understand the focus and control of attention in working memory and how these skills develop, and to pinpoint the nature of capacity and its development from infancy forward.

Keywords

working memory, working memory development, working memory maturation, childhood development of working memory, working memory in infancy

Few topics are more difficult to study than the development of fundamental processes in cognition. As the infant becomes a child and the child approaches adulthood, more facts are learned, and more concepts are understood. More problems can be solved, and more types of new learning become possible. More situations are coped with, and more strategies for coping with them are tried out and practiced. How can one zoom in to see what the contribution of a single factor to development may be when so many entangled factors improve concurrently? Occasionally, it is possible to find, say, a situation in which maturation occurs in the absence of further practice of a certain skill (e.g., Cowan & Leavitt, 1987), but that type of situation usually seems unavailable to help one separate out the basic factors of development. Therefore, extra care and effort are needed to try to understand cognitive growth, and in the present review, I carefully attempt to understand the development of one key cognitive mechanism, working memory. The difficulties in doing so include (a) apparent contradictions between the results of procedures used with infants versus those used

with children and adults and (b) a host of potential confounding factors.

The Issue of Working Memory Development

Researchers of human development seem to agree on the importance of parameters of information processing, including working memory, the control of attention, inhibition of prepotent schemes, and self-regulation in the developmental maturation of cognition. Within this general framework, a special role in cognitive development seems to be played by working memory, the small amount of information that one currently has highly accessible and available for cognitive processing. It includes the information in the conscious mind or

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Nelson Cowan, Department of Psychological Sciences, University of Missouri, Columbia, Missouri 65211 E-mail: CowanN@missouri.edu available to it and therefore refers to something quite central in cognition (e.g., Baddeley & Hitch, 1974; Cowan, 1988; Miyake & Shah, 1999). Many aspects of cognition vary depending on the working memory abilities of children and are compromised in children with various learning or processing challenges that can affect language comprehension and production, reading, mathematics, and problem solving (e.g., Cowan, 2014; Cowan & Alloway, 2009; Cowan, Elliott, et al., 2005; Gathercole & Baddeley, 1990; Jarrold & Bayliss, 2007; Maehler & Schuchardt, 2009; L. Siegel & Linder, 1984; L. S. Siegel & Ryan, 1989; Swanson & Sachse-Lee, 2001). Working memory allows one to retain the data needed to complete tasks, such as retaining the early part of a sentence while putting the whole thing together or, in math, retaining a digit to be carried to the next column mentally. Working memory also allows one to consider characteristics of a new situation so that an effective response can be found; in that respect, working memory is key to fluid intelligence (e.g., Geary, 2004). The time seems right for an evaluation of recent evidence on the development of working memory. In this article, I describe evidence that illustrates the inadequacy of various common hypotheses and I suggest new ways to understand the literature.

Knowing the reason that working memory performance improves would not only explain the basic finding of spans that increase with age across all tested types of working memory tasks (e.g., Gathercole, Pickering, Ambridge, & Wearing, 2004) but also would help in analyses of real-life cognitive tasks. Until we understand why working memory improves, we will not understand limits on how many operations can be carried out while the necessary data are held in mind (Case, 1995; McLaughlin, 1963; Pascual-Leone, 1970) or how many items can be interconnected to form a new concept (Halford, Cowan, & Andrews, 2007). These questions stem from a neo-Piagetian viewpoint, in which the maturation of fundamental information-processing parameters determines the capabilities and limits of cognition (Case, 1985; Commons, Trudeau, Stein, Richards, & Krause, 1998; Cowan, Elliott, & Saults, 2002; Demetriou, Christou, Spanoudis, & Platsidou, 2002; Fischer, 1980; Halford, 1993; Pascual-Leone, 1970).

The expansion of working memory capacity can predict the development of cognitive aptitude (Andrews, Halford, Murphy, & Knox, 2009; Pascual-Leone & Johnson, 2011). One basis of the importance of working memory capacity is that associations can be formed among items in working memory concurrently, up to a capacity limit of several elements, either deliberately (Halford, Baker, McCredden, & Bain, 2005) or incidentally (Cowan, Donnell, & Saults, 2013). As a simple, concrete example of the potential importance of working memory capacity for a young child's conceptual understanding, consider the folk definition of a tiger as a big cat with stripes. When forming the concept, if one forgets the large size, a common house cat could fit the bill. If one forgets instead that this animal must be a cat, it could be a zebra; and if one forgets instead the stripes, it could be a lion. The correct understanding of the concept thus involves concurrent consideration of at least three properties (large size, classification as a kind of cat, and the presence of stripes). It was on the basis of such examples that McLaughlin (1963) suggested an alternative to Piaget's stages of development, based on developmental increases in immediate memory, which would allow concepts of increasing complexity to be kept in mind and thus to be comprehended.

The present review is related in spirit to an earlier review that Dempster (1981) carried out on the development of memory span, the length of a list that can be repeated without error. It was a review so penetrating that I found it informative while I was completing the present effort. (In turn, Dempster owes a debt to Blankenship, 1938). Dempster considered 10 potential sources of variation in the form of structures and strategies that might account for developmental and individual differences. For most of the potential sources of variation, Dempster concluded that there was not yet enough information; the one exception was the speed of item identification, said to be a source of change. The present review differs from Dempster's not only in its reference to the subsequent 34 years of research but also in orientation, in five ways. First, I did not limit this review to span or any one procedure but roamed across many procedures to gain insight into developmental change in the number of items that can be held in working memory. Second, whereas Dempster considered the serial order of responses, I focused on the retention of items, generally without regard to their order. Third, whereas Dempster confined his review to children old enough to carry out a span task, I covered and attempted to reconcile two periods for which the most evidence has been accumulating in recent years: infancy and the school years. Fourth, whereas Dempster was pessimistic about the notion of capacity or the number of items kept accessible concurrently as a simple, potential mechanism of development, here I have revived and updated that mechanism. Fifth and finally, I had less concern about which processes play some role in performance and more of an assumption that many such processes probably do so. There is a quite focused theoretical aim: to determine whether the notion of developmental change in basic capacity is needed or whether sources of variation such as knowledge and processing strategies can explain development even with capacity constant from infancy onward. That has been the question underlying much of my own developmental research published in the past 15 years.

Outline of the Review

In the text that follows, I start first with a discussion of the history of research on working memory capacity and its development. Here I am talking about a construct that is more abstract and principled than just the level of performance on working memory tasks. Second, capacity during infancy is examined and is contrasted with child developmental findings. There are discrepancies between them in which infants look more capable than children, a paradox that can be resolved either by reinterpreting the infant research or by noting task demands in the child research that do not apply to the infant research. Third, reasons for the developmental progression during the school years are further examined, with various confounding factors controlled. Fourth and finally, in the concluding remarks, a few additional suggestions are made for further research to clarify the nature of the development of working memory capacity.

Theoretical and Empirical Background

A brief bistory of working memory capacity

Definitions and origins. The term *working memory* perhaps was first used in psychology by Miller, Galanter, and Pribram (1960) to describe the organized collection of data and procedures that one must retain in order to plan and carry out actions. (The term was also used in computer science by Newell & Simon, 1956.) A bit later, the term was used to describe a multicomponent system in the human mind and brain that retains limited information temporarily while processing it (Baddeley & Hitch, 1974). It is in that sense that working memory is explicitly supposed to have a limited capacity.

The term working memory is used in many different "flavors" by different investigators, as I learned when Miyake and Shah (1999) asked every contributor of their volume to define working memory. Some used the definition to describe the mechanisms involved. Thus, Baddeley (1986) included in the definition not only passive information-holding stores but also central executive processes said to manipulate information in these stores (attention shifting, updating of memory, inhibition of irrelevant information, and so on). According to that definition, short-term memory is just an outdated term that does not make distinctions between the parts. That nomenclature persists for many investigators. Perhaps the central executive processes were included within the definition of working memory because Baddeley and Hitch (1974) originally attributed memory storage capability to them, though that was no longer the case for Baddeley (1986). Some, such as Engle, sive storage of information. I use the term working memory in a rather theoryneutral sense, including as working memory any mechanism that helps hold information in a temporarily accessible state and provides a basis for ongoing cognitive processing, but excluding the processing itself from the definition. Others may then agree with the definition while disagreeing on the mechanism. In terms of the mechanism, I point to (a) a focus of attention that can expand in scope to apprehend several items or chunks at once or narrow down to concentrate on just one chunk and (b) activated elements of long-term memory (e.g., Cowan, Saults, & Blume, 2014). Neurally and behaviorally, the scope of attention, highly dependent on parietal areas of the brain, is said to be separate from the control of attention or central executive processes, highly dependent on frontal areas, with activated memory in various association areas (Cowan, 2011). Oberauer, Lewandowsky, Farrell, Jarrold, and Greaves (2012, p. 779) similarly characterized working memory as "a system for holding a limited amount of information available for processing," even though their model of working memory was based entirely on interference processes, unlike that of Cowan et al. (2014). We included those processes but maintained that a multi-item attention focus also is involved.

Empirical work on something like a *limited working memory*, albeit without reference to that particular term, goes back much further, to the beginning of the field of experimental psychology. It played an important role in the work of Wilhelm Wundt, who established the first experimental psychology laboratory around 1876 in Leipzig, Germany (Fancher, 1979), and helped inspire James (1890) to describe primary memory, the trailing edge of the conscious present. Around the same time, Ebbinghaus (1885/1913) carried out what has been considered the first research on memory, extensively on himself, trying to memorize lists of nonsense syllables and filing them away to test his memory later. He correctly recalled the shortest list tried-7 syllables long-after the first repetition of the list, whereas for the next-largest list-12 syllables long-he required on average more than 16 repetitions. The 7-syllable list thus illustrated what Ebbinghaus (p. 33) called the "first fleeting grasp" of a list, essentially immediate or working memory. Related investigations focusing on immediate memory followed, including the rapid apprehension of several objects (Jevons, 1871) as well as memory span and its improvement with child development (Bolton, 1892; Jacobs, 1887).

Chunks as the units of working memory. Miller (1956) famously discussed the fact that there is a basic limit in the capability of working memory: approximately seven items. This limit was in stark contrast to the information-theoretic framework, which was popular at the time that he wrote because of its relevance for computers. The amount of memory in a computer is characterized in information theory by the number of binary choices that can be preserved, each memory location being switched on or off; human brains also make binary choices, when each nerve cell either does or does not fire in a given instant (McCulloch & Pitts, 1943). Working memory, though, does not work on a binary basis. English-speaking adults know 10 digits (i.e., slightly more than 2^3 digits or 3 binary choices) as opposed to well over 10,000 common words ($\sim 2^{14}$, i.e., 14 binary choices), a difference of three orders of magnitude. Yet, Miller showed that the spans for lists of random digits or for lists of English words are both about seven items. The items that count in working memory capacity appear to be familiar items or *chunks*; so, for example, if one knows the acronyms for U.S. agencies IRS, CIA, and FBI, then the nine letters contained in these acronyms can be remembered easily, in order, as a sequence of three acronymic chunks. The process of forming and using chunks does not depend on language, given that it has been shown to occur even in preverbal infants (Feigenson & Halberda, 2008).

Under some circumstances, presumably when covert recitation can assist recall, capacity is affected by how long it takes to say each chunk (Baddeley, Thomson, & Buchanan, 1975; Towse et al., 2005; G. Zhang & Simon, 1985). However, a chunk capacity limit can be obtained rather cleanly by curtailing articulatory processing, in which case adults can retain typically only three or four chunks (Chen & Cowan, 2009; Cowan, 2001; Cowan, Rouder, Blume, & Saults, 2012).

There is a further current debate about working memory units that is beyond the scope of the present work as its development has not been pursued sufficiently (but see Cottini et al., 2015; Riggs, Simpson, & Potts, 2011). Specifically, it is theoretically possible that items are not simply present or absent from working memory but are present only to a degree, either because some of the features of the item have not been retained (Alvarez & Cavanagh, 2004; Awh, Barton, & Vogel, 2007; Hardman & Cowan, 2015) or because the memory of some continuous property, such as the angle of a line, is retained only imprecisely (Ma, Husain, & Bays, 2014; W. Zhang & Luck, 2008, 2011). This debate can be circumvented by talking about how many items can be remembered with sufficient precision to choose among alternatives, and memory for alternatives does seem limited to about three or four chunks in adults. A complex item like a Chinese character or colored shape may require multiple chunks.

There could be developmental growth of precision: with development, representations could become more complete or precise, or the number of memory slots needed to encode a particular complex object could decrease. These possibilities will not be addressed directly here, but they do figure into the developmental work that will be reviewed (cf. Kibbe, in press).

Development of working memory capacity?

A simple and often-suggested basis of working memory development is an increase in the capacity of a holding mechanism that retains items in working memory, most notably the focus of attention (Cowan, 1988). In its simplest form, this growth in working memory capacity could be expressed in the number of slots that can hold discrete items. However, the developmental logic is similar if maturation is thought of in terms of a fluid resource (e.g., energy) that can be distributed among items in such a way that, typically, only a limited number of items can be retrieved with enough precision to allow recall or recognition of categorically different items, with that number increasing with age. I shall present an empirical base and then explore the theoretical ramifications of this idea.

Documenting working memory development. Many studies have shown increases in performance on shortterm or working memory tests across ages in childhood. In this section, I consider the simple hypothesis that, with maturation, the number of separate chunks that can be held in working memory concurrently increases. Let me first document the developmental pattern before trying to analyze what it may mean. The most extensive data set I know in which many types of tests were administered across a wide age range using standard methods is from Gathercole et al. (2004). In Figure 1, I have rescored the means from their Table 1 to provide estimates of the number of items recalled, as described in the figure caption. Clearly, there is a steady improvement in performance from age 4 years to age 15 years. Given that 15-year-olds approach adult levels of performance in other studies, this figure describes well the latter portion of the child developmental trajectory. Most of the measures are simple span measures requiring only reproduction of verbal or nonverbal stimuli, whereas three of the measures also require processing: reversal of the presented order (backward span), judging the veracity of sentences while remembering the last word of each (listening span), or counting dots within arrays while remembering the dot tallies (counting span). The developmental trend is similar across tests, except in two cases (visual pattern span and mazes) in which developing grouping processes may steepen the age trend as older children recode items to form a spatial configuration.

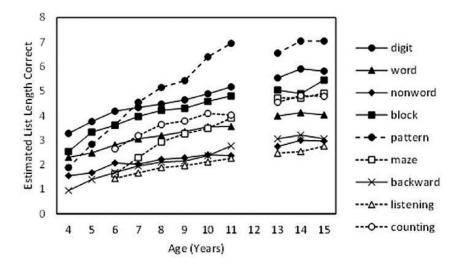


Fig. 1. Estimated items of various types recalled by children as a function of age, based on a rescoring of the results from Table 1 in "The Structure of Working Memory From 4 to 15 Years of Age," by S. E. Gathercole, S. J. Pickering, B. Ambridge, and H. Wearing, 2004, *Developmental Psychology, 40*, p. 181. (Copyright 2004 by the American Psychological Association). Based on the number of trials per length that they used and their scoring system, each mean from the table was divided by 6 except for visual pattern memory scores, which were divided by 3. The steeper development of visual patterns and mazes compared with other modalities could be related to the development of the ability to form a coherent spatial configuration from the items.

Development of the number or size of chunks? The number of items that the participant is shown and then is able to recall need not equal the number of separate slots in working memory. A complex item might be converted to more than one chunk, whereas multiple, potentially related items might be combined into a single chunk. Therefore, the meaning of developmental increases in working memory performance can be known only if the units are known. At least two classic attempts were made to address this issue, but the results were discrepant. Dempster (1978) created word series with low word-toword association values to limit chunking. Whereas digits yielded a 24% increase in span during the elementary school years, the specially constructed word set yielded only a 5% increase, suggesting that most of the developmental change came from improvements in chunking efficiency. In contrast, Burtis (1982) varied the opportunity for chunking by using letter pairs that were easy to chunk (e.g., "MM"), hard to chunk (e.g., "FB"), or intermediate (e.g., "FM," as in a type of radio). The chunking manipulation was successful at all ages but nevertheless did not diminish age differences in performance. The discrepancies between these classic results point to the need for further study.

In a more recent research approach, the stimuli have lent themselves neither to rehearsal nor to chunking because they were presented quickly, often in a simultaneous array. Estimates of working memory capacity from such procedures are typically in the range of 3 or 4 objects in adults (Cowan, 2001; Luck & Vogel, 1998), with smaller estimates in preschoolers and children in the early elementary school years of about 2 to 2.5 items (e.g., Cowan, Elliott, et al., 2005; Cowan, Nugent, Elliott, Ponomarev, & Saults, 1999; Riggs, McTaggart, Simpson, & Freeman, 2006; Simmering, 2012). However, results of some infant studies seem to suggest that infants retain at least three items, similar to adults (e.g., Ross-Sheehy, Oakes, & Luck, 2003; Zosh & Feigenson, 2015). These are not trivial discrepancies, and they require explanation; they have not been reconciled in previous work. Dialogues between infant and child researchers are needed.

Neo-Piagetian theory. Piaget discussed the progression of children through various logical stages, but there was always a bit of tension within Piagetian thought. Task complexity and the memory requirements of the task clearly influenced performance on conceptual tests, a phenomenon called *borizontal* décalage (Piaget, 1977). Such findings were handled more gracefully by neo-Piagetian psychologists, who posited that fundamental information-processing parameters like memory and processing efficiency improved with maturation. Better information processing, in turn, was said to allow more complex concepts to be comprehended, harder problems to be solved, and so on (Burtis, 1982; Case, 1985, 1995; Commons et al., 1998; Demetriou et al., 2002; Fischer, 1980; Halford, 1993; Halford et al., 2007; Halford, Wilson, & Phillips, 1998; Pascual-Leone, 1970). The processing parameter with perhaps the most impact was working

memory, the small amount of information that can be readily accessed for completion of a task.

Even when children varied in their strategies for approaching a problem (e.g., Siegler, 1994), strategy selection and execution could be thought of as dependent on working memory capacity. The initial employment of a strategy that has promise may at first be cumbersome and attention-demanding, given that it is different from what the participant is used to, but with practice, the strategy can become less attention-demanding and thus more helpful to performance (a change that has been documented for verbal rehearsal by Guttentag, 1984). The neo-Piagetian view would promote the idea that the growth of capacity is involved even in the conceptual, behavioral, and strategic changes that occur during infancy and early childhood, so it is of considerable importance to understand the nature of both the early and later child development of working memory.

From Infancy to Childhood: Growth and Changing Task Demands

The working memory capacity growth hypothesis

According to neo-Piagetian theories, the number of items that can be held in working memory (number of slots) governs how many schemes can be coordinated to produce a concept or motivate an action and the number increases with development (e.g., McLaughlin, 1963; Pascual-Leone, 1970). Thus, from the mid-1960s until the mid-1980s, cognitive developmental psychologists often suggested that processing and conceptual advances led to performance advances. A child was ready to represent objects or people with words just after the child was able to remember that objects remained in existence even when hidden; typically, this realization occurred within the first 2 years of life (Corrigan, 1978; Kahn, 1976; Moore & Meltzoff, 1978). The ability to count could be linked to a concept of one-to-one correspondence (e.g., Greeno, Riley, & Gelman, 1984). Basic science and mathematics understanding could be linked to conceptual advances such as conservation, the notion that when matter is molded or poured into a different shape or cut into pieces, the amount of matter is the same (e.g., Fischbein, 1987). Subsequent infant research, though, challenged neo-Piagetian thought.

Background of infant perceptual studies. Piagetian theory no longer dominates developmental work, largely because infants have been shown to engage in many types of thinking that, according to Piagetian theory, they should not be capable of, beginning with the ability to be surprised by a violation of object permanence as early as 5 months old (Baillargeon & DeVos, 1991; Baillargeon, Spelke, & Wasserman, 1985). Whereas in the original research by Piaget and others, infants had to reach under a cloth to retrieve a hidden object, the newer research examined infants' reactions to the disappearance of an object while it was behind an occluder. Infants much younger than Piaget would have suspected also have been shown to have some understanding of diverse properties of objects and events (e.g., that two objects cannot be in the same place at the same time: Baillargeon, Graber, DeVos, & Black, 1990; Spelke, Breinlinger, Macomber, & Jacobson, 1992). They have shown evidence of a mental faculty allowing enumeration of small numbers of objects (Wynn, 1996), transitive inferences (Mou, Province, & Luo, 2014), and false beliefs (Choi & Luo, 2015). Moreover, the bulk of research itself largely has shifted to the infancy period. In this research, infants are typically shown to be surprised by events that should not take place according to principles of the real world that infants previously had been assumed not to know.

The discrepancy between the quick acquisition of concepts according to the infant research and the much slower acquisition of concepts in child research (see Marti & Rodriguez, 2012) led Keen (2003) to ask about the representation of objects and events, "Why do infants look so smart, and toddlers look so dumb?" The discussion naturally centered on differences in task demands in the infant versus child procedures. In one phenomenon, a ball essentially rolled down behind an opaque screen and should have come to rest when it hit a partition that extended up above the screen. On impossible-event trials, the ball instead showed up on the wrong side of the partition. Infants noticed the oddness of the impossible event according to the amount of surprise indexed by looking time. In contrast, in the toddler procedure, in which the child had to reach for the ball, evidence of knowledge of the whereabouts of the ball did not emerge in 2-year-olds. Nevertheless, these toddlers did pass the surprise test measured by looking time as in the infant procedure (Hood, Cole-Davies, & Dias, 2003; Mash, Novak, Berthier, & Keen, 2006). This research establishes the point that infant-child discrepancies can be linked to task demands.

Infant working memory studies. A number of studies with different procedures suggest that the capacity of working memory dramatically increases between 6 months of age, when infants can respond well on procedures with only a single item to be remembered, and at most 2 months later, when infants can respond well on procedures with several items in a series or an array to be remembered (for reviews, see Kibbe, in press; Oakes & Luck, 2013; Simmering, 2012; Zosh & Feigenson, 2015). Moreover, these infants older than 8 months at some point appear to have a capacity to remember about three items, which is an adult-level number if one accepts the infant and adult procedures as equivalent. Infants can apparently individuate three items sometime around the end of the first year (Kibbe & Leslie, 2013). This point needs careful scrutiny because children in the early elementary school years, tested with the adultlike procedures, seem to remember fewer items.

In one relevant infant procedure, Ross-Sheehy et al. (2003) presented series of arrays on the left and right sides of the screen. On one side, successive arrays differed in one color, whereas the arrays presented to the other side were all identical. Six-month-old infants looked longer at the changing display only with one-item arrays on each side, but 10-month-olds did so with four-item arrays, comparable to what is found with adults using the adult procedure. This result was not obtained in these infants using five-item arrays. The correspondence with adults' capacity could be a coincidence, inasmuch as adults appear to have a capacity that actually reaches an asymptotic level closer to three items (e.g., Cowan, Fristoe, Elliott, Brunner, & Saults, 2006; Rouder et al., 2008; W. Zhang & Luck, 2008); no one suspects that infants have a higher capacity than adults. In the infant procedure, perhaps not every change is detected, but still enough of them are detected to attract attention. In any case, there are a larger number of recently activated colors in the changing side of the array, automatically attracting attention.

The possibility of an overestimate of capacity with a multiple-look procedure was eliminated in later work by Oakes, Baumgartner, Barrett, Messenger, and Luck (2013). On every trial, the infant saw an array only once, followed by another array that gave the infant a choice of looking at an item that came from the array or at another item that was new. For arrays with two unique objects, 8-month-old infants looked for more time at the novel item, indicating the ability to remember the array, whereas 6-month-old infants could do so only with arrays limited to one object. It should be noted that the 8-month-olds' proportion of looks to the changed square was not very high: it hovered around .60.

Kibbe and Leslie (2011) found that when 6-month-old infants see two objects disappear behind occluders, they are surprised when an occluder is raised and the object is missing, but not when the object that appears is the wrong one, the one that had disappeared behind the other occluder. The implication is that even 6-month-old children have rudimentary multiple-object representations, but the representations do not include the details of the individual objects. The progression of infant findings suggests that object-file representations are quite basic, but that the details of these objects are filled in with maturation in infancy. In the study of Kibbe and Leslie, infants may only have remembered that the occluders had objects behind them.

The change between age 6 months and several months later may have to do with the individuation of objects. Ross-Sheehy, Oakes, and Luck (2011) used a multipleexposure procedure and found that when there was a moving pre-cue (inasmuch as one array item rotated), even 5-month-old infants preferred the stream in which the rotating object changed color from frame to frame over the stream in which the rotating object remained the same color (as did all of the objects). In contrast, when there was no such salient pre-cue, 6-month-olds apparently perceived the array without separating the objects.

In the aforementioned studies, the looking responses might be considered automatic rather than deliberate. In a procedure suggesting that infants already have acquired the ability to think of three items in working memory deliberately, Feigenson and colleagues conducted a series of studies well summarized by Zosh and Feigenson (2015). When 13-month-old infants were shown attractive objects that were then hidden in a box, they searched for the objects up to a point. They often searched for up to three identical objects. However, if four such objects were hidden, the process broke down, and infants acted as if they had forgotten that multiple objects were hidden. This catastrophic forgetting did not take place, though, if the objects differed from one another. In that case, the infants typically searched for up to three of the four items and then stopped. Apparently, simply suggesting a developmental increase in the number of items in working memory is not going to be sufficient to explain the transition from infancy to adulthood.

At this point, however, one must think carefully about exactly what infants were doing in the procedure of Zosh and Feigenson (2015), when they removed three of four items hidden in a box and then stopped. A default hypothesis might be that they held three items in working memory and pulled out items from the box until they found all of the ones included in working memory, but that hypothesis cannot explain the findings. On most trials in which three items were assumed to be in working memory, the first three items removed from the box would not have been the same three held in memory, so the fourth item should have been pulled from the box. In fact, the obtained results were more like what would have been expected if infants held only two items in memory and compared these items with the ones drawn from the box. Suppose, for example, that Objects A and B happen to have been stored in memory, whereas Objects C and D have been lost from memory. All four objects are entered into the box. When they are drawn out in random order, there are 24 equiprobable orders in which four items could be drawn. The objects in working memory are drawn out within the first two draws in 4 of those 24 orders (ABCD, ABDC, BADC, and BADC), and the recovery of objects will be discontinued after the first two draws. The objects in working memory will be drawn out in exactly three draws in 8 of those orders (ACBD, ADBC, BCAD, BDAC, CABD, CBAD, DABC, and DBAC), and the process will be discontinued after the first three draws. Finally, in the remaining 12 orders, all four draws will be needed in order for the infant to retrieve the specific two items in working memory; either Object A or Object B is drawn fourth. Summing across all instances, the expected mean number of draws would be $(2 \times 4 +$ $3 \times 8 + 4 \times 12)/24$ or 3.33 draws. One can conclude that either the infants in fact retained an average of slightly less than two items in working memory, or they used a different process to determine when to stop withdrawing objects from the box. I discuss one possible alternative process in the following section of the article, related to Figure 2.

Note that there may be some difficulty in reconciling infant and child results experimentally. It is possible to use infant procedures with children, but superior performance in children compared with that in infants might not be theoretically decisive. For example, a 10-year-old might succeed at the task of Zosh and Feigenson (2015) with four hidden items or more by counting items as they disappear into the box (e.g., Gelman & Meck, 1983) and might succeed at the procedure of Oakes et al. (2013) with five array items by systematically examining one item or more until a change is detected. This superior performance in children compared to infants still might not be taken as evidence of a larger basic capacity in children, but rather of the development of secondary skills such as counting. It may be that toddler research is especially needed to bridge most meaningfully the infant and child results on basic working memory capacity (e.g., Keen, 2003; Simmering, 2012) because toddlers do not yet have advanced strategies like counting that are not considered in the test rationale. In any case, the hypothesis that the number of items in working memory simply increases with age remains viable but has not been proven.

Hypothesis of control: Automatic and deliberate maintenance in working memory

According to another hypothesis, the proposed difference between infant and adult procedures is not in how many items can coexist in the core part of working

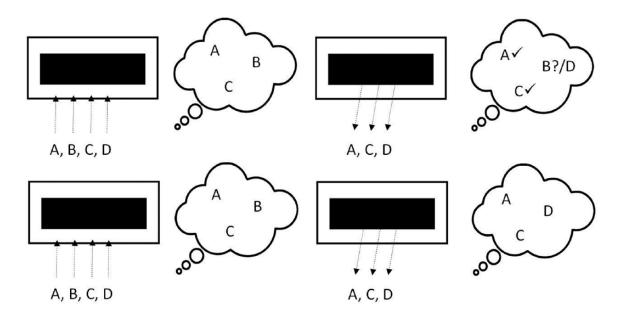


Fig. 2. Illustration of hypothetical processing modes in the hidden-objects infant procedure of Zosh and Feigenson (2015) with three items in working memory. Each row progresses from left to right. Four objects schematically labeled A–D are hidden in a box, and three of them have been retrieved by the illustrated point in time. In the top row, the infant compares all of the retrieved objects with the objects in the attention-based part of working memory. This method, however, would leave the infant unsatisfied after three withdrawals on 75% of the trials because not all three of the remembered objects would be withdrawn in the first three draws. In the trial shown, for example, Objects A, C, and D have been retrieved, but D was not in working memory so the child presumably would keep looking for the fourth object in working memory, B. The bottom row reflects the proposed alternative strategy with no comparison process; Object D replaces Object B in the attention-based part of working memory, so B is forgotten and the infant is satisfied with three objects. This process more closely matches the obtained results unless the infant's capacities averaged less than two items (see text for details).

memory but in how appropriately the contents can be controlled (cf. Kane & Engle, 2003)-and thus the correct memoranda maintained-as the stimuli change across the experimental trial. Theoretically, this might occur because of how two different kinds of working memory described by Cowan (1988, 1999, 2005) are used, namely, the activated subset of long-term memory and the focus of attention (see Fig. 3). According to this embedded process model, incoming stimuli from the environment automatically activate physically based features (tone pitch and loudness, brightness and line orientation, color, taste, touch, and so forth) and sometimes activate some semantic, abstract features as well (phonemic categories distinguishing one word from another, word meanings, object identities, connotations, and the like). These activated features are subject to decay over time (Darwin, Turvey, & Crowder, 1972; Ricker & Cowan, 2014; Sperling, 1960; Treisman, 1964) and subject to interference from subsequent input with similar features (Nairne, 1990). In contrast, the focus of attention is limited to at most a few objects at once, producing integrated ensembles of features for those objects (cf. Kibbe, in press) and allowing a more complete semantic analysis of the objects or events. Features of items in the focus of attention remain activated temporarily after these items are no longer in focus. When I talk of the limits of working memory capacity, I am referring specifically to how many items can occupy the focus of attention.

Presumably, deliberate actions that include head turning or eye movements as well as manual movements and speech all emanate from the focus of attention. However, there are two ways in which information can get into the focus of attention and can result in actions. In the first, the *automatic* route to action, incoming stimulation is seen to be discrepant from the neural model of prior stimulation, and it attracts attention. This can occur for stimuli for which there was no prior attention. For example, a thunderclap can draw attention away from some ongoing attended activity. It can also happen in a more extensive way for attended stimuli. For example, if a stranger seen by a young child is a man wearing a kilt, and the child has never seen anything like that before, the novel combination of man-with-skirt may attract attention.

Second, in the *deliberate* route to action, attention is governed by central executive processes. In verbal individuals, one may assume that central executive processes are involved because responses can be altered according to instructions, but here the assumption is that manual responses in preverbal infants also can be deliberate and based on central executive processes. It is also possible for the deliberate route to override the automatic route to control head and eye movements, even in infants (e.g., Johnson, 1995).

The route that is used to make a response sometimes is critical for understanding responses in working memory tasks (and other tasks as well). It can be important when the automatic and deliberate routes bear information that is discrepant, with the automatic route provoking a wrong answer unless the deliberate route overrides it. One important example is the presence of proactive interference. There are cases in which a certain feature is absent from a set of items studied on the current trial but

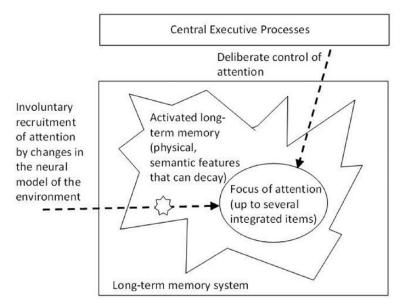


Fig. 3. Schematic view of the embedded-processes theoretical framework of Cowan (1988, 1999).

was present in a recent, previous trial. According to the automatic route, there may be a feeling of familiarity worth attending to, but the deliberate route is able to use information indicating that this familiarity (from a previous, recent trial) is not the kind of signal one wants to act on in the trial. Sometimes the automatic route leads to a prepotent response that one wishes to avoid (e.g., Kane & Engle, 2003). As I will discuss, the infant procedures may not elicit the deliberate route to the same degree as the adult procedures.

Background: Controlled information maintenance *in adults.* Consider a typical trial in the often-used

array comparison procedure (Luck & Vogel, 1997). In one version of the procedure, the probe array is a repetition of a briefly studied array of colored squares except that one item is marked in the probe array (e.g., with a surrounding circle), and that item may have changed to a different color. The task is to indicate whether the marked item has changed color. If so, it can cause a discrepancy from the neural model of the environment, attracting attention. However, for several reasons, that attention signal is not a reliable indicator that the item in fact has changed. To some extent, recognition of an item that was in the memory set also attracts attention, just not as much as a novel item. Moreover, the attraction of attention to a changed probe item might well be diminished if the neural model of the world is not limited to the present trial. Suppose, for example, that green was a color present in the studied array on Trial n - 1 but not on Trial n and that the marked item in the probe array on Trial *n* is green. If green is already in the current neural model from the prior trial, the marked item may not evoke a sense of novelty, and the automatic system will not provide a helpful attention signal indicating that the marked item was not in the present Trial n array. This outcome would be an example of proactive interference, which has been documented in such array tasks (Shipstead & Engle, 2013).

In the deliberate system, items are kept as much as possible continually in the focus of attention or are drawn back into focus as often as possible, precisely to avoid such proactive interference. For example, Cowan, Johnson, and Saults (2005) presented word lists followed by a probe word, the required response being to indicate whether the probe word was present in the list. When the correct answer was "no," the probe word sometimes matched (or resembled) a word presented in a recent trial. With lists of three or four items, short enough to be held in the focus of attention, there was very little incorrect responding on the basis of the recent lure (i.e., very little proactive interference), but much more proactive interference was obtained with longer lists of six or eight items that presumably could not be held in focus. In the adult array-change-detection procedure, if memory can be assumed to accumulate across more than one trial, there may be no reliable familiarity signal indicating that a change is present or absent. What the participant must then do is to keep the memory set in the focus of attention while comparing the relevant item to the marked probe. This procedure is illustrated in the top panel of Figure 4 for an unchanged probe and in the middle panel of that figure for a changed probe.

Information maintenance in infant procedures. According to this information-maintenance hypothesis, infants who are 8 or 9 months old are already able to focus attention on three items and establish the corresponding activation of their features in memory. However, infants and young children would not be able to use the deliberate system adequately to separate the stimulus stream into discrete events, only some of which should be used to motivate the response (e.g., the stimuli from the studied array on the present trial). Unlike the adult procedures, the infant procedures may not require use of that deliberate system.

Even in the "one-shot" procedure of Oakes et al. (2013), infants do not face one problem that the adults usually face in visual array memory procedures. In the infant procedure, a familiarity signal can indicate that one choice is more familiar than another and thus more active in memory, even if the items are not in the focus of attention (Fig. 4, bottom row). In the adult procedure, this is not possible because only a single probe is presented; it will give rise to a certain signal of familiarity, but there is nothing to against which to compare it. The result must be based on recollection of the probe as present or absent from the studied items on the present trial.

In contrast to the usual adult procedure but similar to the procedure used by Oakes et al. (2013), Cowan et al. (2012) offered adults two response choices on every trial, one of which was taken from a studied list of words. In this procedure, a familiarity signal should be useful as the studied word should be more familiar on average. The findings in this study indicated that performance was better than one would expect on the basis of short-term capacity alone; a component of activated long-term memory had to be added to explain the results. The array situation may be different, though, inasmuch as any capacity limit would apply strongly during encoding of the briefly presented array; in list recall, memory is loaded more gradually. Thus, in the future, it could be an important comparison to try the Oakes et al. (2013) procedure on adults.

The pattern observed by Zosh and Feigenson (2015) in the procedure in which objects were hidden in a box and could be retrieved by the infant also can be understood in the embedded-process view if the focus of attention can

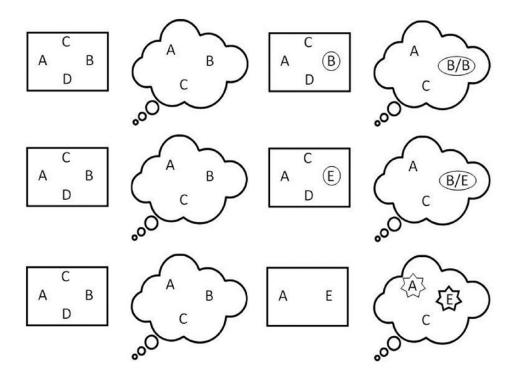


Fig. 4. Illustration of proposed processing modes in change-detection procedures with a capacity of three working memory items. Each row progresses from left to right. The top row shows adult-like processing when four items, schematically labeled A–D here, are presented; three of them are encoded in the participant's attention-based part of working memory, and one of the items that is probed happens to be present in this part of working memory. The probe is explicitly compared with the corresponding array item. The middle row shows adult-like performance in the same sequence but with a changed probe; the probe is judged different from the corresponding array item in working memory. The bottom row shows a strategy that can be used in the "one-shot" infant procedure of Oakes et al. (2013). Because two probes are presented and one comes from the array, the two probes can often be distinguished on the basis of relative activation based on novelty. The bold shape represents more activation. No explicit comparison with the array in focal attention is needed.

include three items in these infants. When a fourth item is presented, it replaces an item in the focus. Therefore, the infant may be happy with three items even though these may differ from the three items originally encoded into working memory. That is, a direct comparison of items in working memory with items retrieved from the box is not carried out by the infants. According to this suggestion, one could predict that if Item 4 then is retrieved from the box by the experimenter, it should elicit less surprise than if an entirely new item were retrieved from the box. That is the prediction because Item 4, while no longer present in the focus of attention, often still is present in the activated portion of long-term memory. The focus of attention is presumably limited to three items at once, but still each item that emerges from the box can be compared with the potentially larger number of recently presented items in activated memory, and a mismatch caused by a novel object may recruit attention. To confirm this prediction, the suggestion is to combine the object-retrieval procedure with an interest/looking phase on some trials, in future work.

The top row of Figure 2 graphically illustrates why it is implausible to propose that the infant in the procedure of Zosh and Feigenson (2015) used the focus of attention to compare the retrieved objects with the objects in memory. In the example, an infant has retained three of four hidden objects in memory. On 75% of the trials, by chance, the retrieved objects will not match all of the objects in working memory, and they do not match in the example shown. If there were a comparison process, the infant would still wonder what happened to one of the objects in working memory, Object B in the example. The second row of the figure shows an alternative processing mode in which the retrieved object that was not in working memory now displaces one of the objects that was in working memory. When the infant has retrieved three objects, those objects will fill the focus of attention, and the infant will be satisfied with the items reaped and will not notice the mismatch between the set stored originally in the focus of attention and the current set in focus.

Childbood development of information maintenance. The developmental trend in working memory seen during childhood could occur because young children are deficient compared with adults in the deliberate process of preserving items in the focus of attention while comparing them with the probe item. Such a process would be consistent with the report that a postcue can be used to draw array items back into the focus of attention less successfully in 7-year-olds than in older children or adults (Shimi, Nobre, Astle, & Scerif, 2014). The finding may also be compatible with the dynamic systems view of development, in which parameters of activation and inhibition mature to produce more stable representations in working memory with age (Schutte & Spencer, 2009; Simmering & Patterson, 2012). The notion would be that although 7-year-olds may hold in mind as many items as older children or adults, in the younger children the process of comparing an array with a probe would create interference that would tend to knock out of working memory some of the intended memoranda, resulting in poorer performance than in the older participants. Similarly, in recalling a list, recall of some items would create output interference that could prevent the recall of additional list items, if attention-based processing was not sufficient to preserve the items not yet recalled.

What is the nature of controlled information maintenance? It is not clear what processes are involved in the deliberate maintenance of information in the focus of attention, but considerable work suggests that in individuals older than about 6 years, the focus of attention rapidly circulates to refresh various items in turn. The number of items that can be recalled is reduced in a linear fashion as a function of the cognitive load, the proportion of time during the input of the list taken up by an interleaved distracting task (Barrouillet, Portrat, & Camos, 2011). This reduction is presumed to occur because capacity is limited to the number of items that can be refreshed by attention before becoming inaccessible to the refreshing process because of rapid temporal decay of the memory representations. Gaillard, Barrouillet, Jarrold, and Camos (2011) found that differences in working memory performance between third- and sixth-grade children were eliminated when the amounts of time available for each part of the task were increased for younger children by an amount commensurate with their slower processing and refreshing times. This finding suggests that refreshing rate is a major basis of age differences in working memory, and it could be the basis of controlled memory maintenance.

Moreover, Camos and Barrouillet (2011) found that in children age 6 years and younger, the cognitive load relation did not hold; instead, information was lost as a function of time rather than cognitive load, suggesting that children that young do not engage in the same maintenance process of refreshing the items in the focus of attention. They instead let the information degrade over time. Therefore, it is possible that children younger than 6 years maintain information only in the activated portion of long-term memory, with information shifting in and out of the focus of attention in an undisciplined way. Children older than 6 years would progress with age in the rate of systematic refreshing of information and thus in the amount that can be maintained in the face of interference.

An alternative to the decay-based interpretation of refreshing is that there is a limited processing cycle time, within which all working memory items that are going to be retained must be activated in a serial manner (e.g., Lisman & Idiart, 1995). There is evidence that there indeed may be a processing cycle within which some kind of refreshing may operate (Fiebelkorn, Saalmann, & Kastner, 2013; Lisman & Jensen, 2013; M. Siegel, Warden, & Miller, 2009), but there is as yet little developmental evidence related to this alternative.

Infancy to childhood: A summary

In sum, new research is needed to determine whether the development that occurs during infancy and the transition to childhood involves increases in the number of items held in attention-based working memory, its scope; whether it is not the scope but attentional control that develops, allowing stable maintenance of the most relevant items in a wider range of circumstances; or whether both the scope and control of working memory develop. Scope and control appear partly independent, as shown, for example, by Cowan, Fristoe, et al. (2006).

Development Throughout the School Years: Controlling Confounding Factors

The interpretation of childhood developmental results depends on the infant research and its proper interpretation. If the similarity in apparent working memory capacity of infants and adults is borne out, then there is no room to anticipate developmental changes in capacity during childhood. Instead, the childhood development would have to be related to how children handle the additional demands that the adultlike procedures entail (presumably, control of working memory contents). If infants actually are shown to retain fewer items or chunks than adults, then it becomes more likely that there is further childhood development of capacity also.

Although this fundamental question cannot yet be answered, one can ask about the task demands

of adultlike procedures to determine what confounding factors-other than capacity or control of the contents of working memory-could account for the developmental improvement without reference to capacity. The capacity-growth theory would benefit if researchers can experimentally control various mechanisms that change with development and still find maturational growth in the number of items that can be retained in working memory. This research strategy has been used by some who have concluded that mechanisms other than capacity do account totally for the improvements (Case, Kurland, & Goldberg, 1982, identification time; Dempster, 1978, chunking efficiency; Gaillard et al., 2011, attention-based refreshing rate), and others who have concluded that these confounding mechanisms do not have that impact (Burtis, 1982, chunking efficiency; Cowan, Elliott, et al., 2006, speaking rate; Hulme & Muir, 1985, rehearsal rate). This strategy has not been used much lately but is the mainstay of my recent developmental research.

Figure 5 shows measures drawn from several of my studies in such a way that a common comparison can be made across two age groups: children 7-9 years old and college-age adults. The top panel of the figure shows that in all of the studies noted, adults yielded estimates of the number of items stored in working memory that exceeded the estimates for the children. The bottom panel of the figure shows that in each case, a measure of the efficiency with which working memory information was processed did not differ between the two age groups in the tasks used in these studies. This increase in capacity (or perhaps mental attentional energy: Pascual-Leone, 1970) is as the neo-Piagetian approaches would suppose (e.g., Case, 1995; Halford et al., 2007; Pascual-Leone & Johnson, 2011). In the following, I explain details about each of these factors.

The factor of increasing knowledge

Evidence for the effect of increasing knowledge. Knowledge can allow multiple stimulus items to be combined to form fewer meaningful chunks of information. Chi (1978) showed that knowledge is critically important for working memory. Children (in third through eighth grades, mean age: 10.5 years) who were expert at chess were better able to remember chessboard configurations than were naive adults, even though the usual adult superiority emerged for memory of lists of digits. The case for knowledge was furthered by a seminal article by Case et al. (1982). They examined the ability to recall lists of ordinary, spoken English words and the speed of repetition of individual words within the set, finding both measures to be poorer in the children 3-6 years old than in young adults. However, when adults received unfamiliar nonsense words instead of English words, their

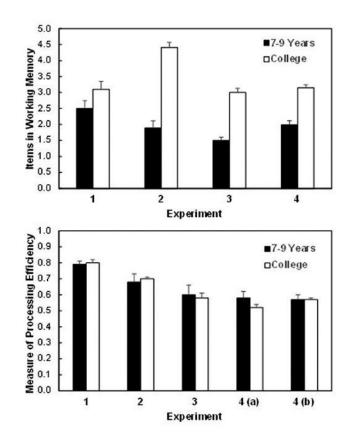


Fig. 5. Data from four experiments illustrating age differences in the estimated number of items in working memory (top panel) despite no difference in the relevant measure of processing efficiency (bottom panel). Experiments listed on the x axis: 1 = Gilchrist, Cowan, and Naveh-Benjamin (2009); 2 = Cowan, Ricker, Clark, Hinrichs, and Glass (2015, 1-s delay); 3 = Cowan, Morey, AuBuchon, Zwilling, and Gilchrist (2010); and 4 = Cowan, AuBuchon, Gilchrist, Ricker, and Saults (2011). The top panel reflects memory for (Experiment 1) at least one content word from each short, spoken sentence to indicate access to that sentence within four-sentence lists; (Experiment 2) letters from a spatial array; and (Experiments 3 and 4) colored objects from a spatial array. The atypically high capacity of adults in Experiment 2 is likely a result of the covert verbal rehearsal of letters. In the bottom panel, the measures of processing efficiency are (Experiment 1) the proportion of words recalled from accessed sentences (i.e., from those sentences with at least one content word recalled); (Experiment 2) memory for letters divided by the sum of memory for letters and unfamiliar characters; (Experiments 3 and 4a) memory for the colors of the more-relevant shape divided by memory of the colors of both shapes together, based on four-item arrays, silent condition; and (Experiment 4b) memory for colors from trials with silence divided by memory for colors from both silent and speak-an-irrelevant-word conditions summed. Error bars are standard errors.

performance on both measures resembled the children with English word stimuli. This finding suggested that the operational efficiency of working memory increases with familiarity with the materials, presumably accounting for the developmental increase in working memory performance.

Cowan, Ricker, Clark, Hinrichs, and Glass (2015) argued, though, that the Case et al. (1982) results cannot

necessarily be attributed to knowledge as an alternative to capacity development but possibly to knowledge in combination with capacity development. That could be the case, for example, if the nonwords presented to adults must be encoded as more than one chunk each. For example, the nonword item *meeth* as a novel combination often might have to be remembered as two chunks, perhaps "*mee+th*" or "*m+eeth*." If so, adults studied by Case et al. could have been superior to the children in the number of perceived chunks held in working memory, even if the two groups were in effect equated on the number of experimentally defined items in working memory.

Working memory development in childbood with knowledge controlled. To examine the role of memory with knowledge controlled, Gilchrist, Cowan, and Naveh-Benjamin (2009) used verbal sentence materials. Children in Grades 1 and 6 (mean ages 7 and 12 years, respectively) and adults were tested with spoken sentences that were easy for all age groups in the study to understand (e.g., "Thieves took the painting"; "Our neighbor sells vegetables"). These sentences then were combined to form lists of sentences that did not tell any coherent story. The task was to repeat the list of sentences verbatim. It was supposed that each sentence would typically be represented as a single chunk but that the sentence-long chunks would be retained separately in working memory. There were two key measures: First, a processing efficiency measure was chunk integrity, defined as the number of words recalled from a sentence, conditional on at least one content word being recalled from that sentence. That measure showed about .80 chunk integrity in each age group, so the developmental improvement in memory could not be explained by a change across age groups in chunk integrity. Second, there was a measure of chunk access, the number of sentences for which at least one content word was recalled. Given that the integrity of each sentence as a chunk was high, it appeared that this measure of chunk access could estimate how many sentences (i.e., chunks) could be recalled mostly intact. This measure showed a developmental change (e.g., in a condition with eight unrelated sentences per trial, an increase from about 2.5 chunks in first-grade children to about 3.5 chunks in adults). The apparent developmental increase in capacity in this procedure, despite the developmental constancy of sentence knowledge for these materials, is illustrated in the leftmost clusters of bars in Figure 5 (capacity, top panel; processing efficiency, bottom panel).

Cowan, Ricker, et al. (2015) set out to determine whether knowledge is sufficient to explain developmental changes in visual memory using a modification of an array memory procedure developed with adult participants by Luck and Vogel (1997). The stimuli to be remembered on each trial of Cowan, Ricker, et al. were briefly presented arrays of either five English letters or three unfamiliar characters (shown in Fig. 6). Given the superiority of the recall of letters, the difference in array size allowed the two stimulus sets to produce more similar levels of performance. The participants were children in Grades 1–2 (6–8 years old), Grades 3–4 (8–10 years), Grades 5–7 (10–13 years), and college students. On each trial, the array to be remembered was followed 1 s later by a masking pattern; a retention interval of 1, 5, or 10 s; and then a probe item in the same spatial location that one of the array items had occupied. The probe was to be judged the same as the array item in the corresponding location or not found in the array.

Results of this study were scored in terms of a formula to estimate the number of items in working memory, taking into account guessing (Cowan, 2001). The formula was based on the assumption that an individual has kitems in working memory on each trial, and if the array item at the probed location is in working memory, the individual knows whether the probe differs from the corresponding array item. If the item is not known, the participant must guess. The resulting formula is k = S(h - f), where S is the number of array items, b is the proportion of change trials in which there was a hit or correct detection of the change, and f is the proportion of no-change trials in which there was a false alarm. If the development of working memory were totally the result of knowledge, there should be little or no developmental improvement for unfamiliar characters in Cowan, Ricker, et al. (2015), of which none of the groups had prior knowledge. Clearly, that was not the outcome. The initial result was that performance improved across age groups for both types of materials. It was true that performance was higher for English letters than for unfamiliar characters and climbed more quickly across age groups; knowledge contributed to performance profoundly. Moreover, there was an interaction between the materials and the age group. The basis of the interaction appeared to be that some of the children in the youngest age group did not know their letters well; they revealed a capacity of less than one English letter and did not show much of an advantage for English letters over unfamiliar characters. With those children omitted, however, the interaction between materials and age groups was eliminated. Cowan, Ricker, et al. then examined the normalized results, which revealed the improvement from one year to the next in standard deviation units for each type of stimulus material. The developmental progression was quite similar and statistically indistinguishable for the two types of materials (Fig. 7). Thus, provided that participants in all groups have sufficient basic knowledge of English letters, knowledge cannot explain the developmental increase in performance

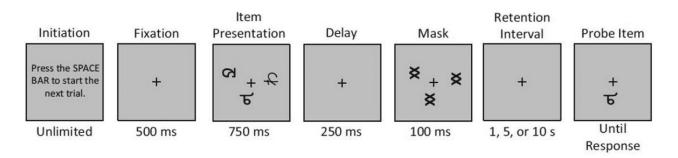


Fig. 6. Stimuli in an unfamiliar-character trial of an experiment on the role of knowledge in visual working memory development. Reprinted from "Knowledge Cannot Explain the Growth of Working Memory Capacity," by N. Cowan, T. J. Ricker, K. M. Clark, G. A. Hinrichs, and B. A. Glass, 2015, *Developmental Science, 18*, p. 134. Copyright 1999–2015 by Wiley.

(see also the second column of panels in Fig. 5). The study also showed comparable loss in each group as the retention interval increased to 10 s. In sum, although there is an obvious increase in knowledge across the elementary school years and beyond, the results show that it cannot be the sole basis of working memory development.

The factor of attentional filtering

Evidence for the relation of attentional filtering at encoding to working memory. A visual array recognition procedure has been used to show the potential relation between selective filtering and working memory in young adults. Specifically, Vogel, McCollough, and Machizawa (2005) found that the event-related potential signature of a memory load showed a different pattern in participants with low versus high working memory performance. High-span adults showed similar patterns of brain activity for sets of two relevant targets (e.g., the orientations of green bars) no matter whether these were presented alone or along with two irrelevant items (e.g., the orientations of red bars). In contrast, low-span adults apparently did not filter out the irrelevant items when the arrays were presented and showed a pattern of brain activity that was similar for, on one hand, two relevant items presented along with two irrelevant items and, on the other hand, four relevant items presented alone. This finding suggested that in low-span individuals, all items were allowed into working memory, imposing a task of filtering at the time of recall. In the terms of Braver (2012), the high-span adults had a proactive performance strategy, filtering out the irrelevant items at the time of encoding, whereas the low-span adults had a reactive performance strategy, filtering out the irrelevant items only when that was unavoidable at the time of test.

Yet it is not clear how general the finding of filtering at the time of stimulus presentation is as the basis of working memory differences. The procedure of Vogel et al. (2005) is complex because the electrophysiological measure of working memory load requires that participants attend to only one of two visual fields, so that performance depends on selectivity in some way on every trial, not just on trials with differently colored distractors.

Providing a simpler index of filtering and working memory capacity, Gold et al. (2006) used a behavioral procedure in which participants received arrays with multiple types of objects (e.g., red and green bars). The task in this example was to remember the orientations of the bars (horizontal or vertical), but they were of unequal importance. A participant could be tested on the red bars on 75% of the trials and on the green bars on 25% of the trials. Given the difference in priority, the smart allocation of attention would favor the more-often-tested (in this example, red) bars. A measure of capacity was the estimate of the number of red and green bars in working memory, but a measure of strategic allocation of attention (i.e., processing efficiency) was the extent of a difference in performance favoring the more-often-tested bars. Surprisingly, participants with schizophrenia were as good as control participants at allocating attention but nevertheless remembered far fewer bars overall. Mall, Morey, Wolf, and Lehnert (2014) set up a situation in normal young adults in which participants could entirely ignore one type of object; eye movements were recorded as a measure of the degree to which individuals looked at the irrelevant items. In agreement with the notion seen in Gold et al. that filtering does not in fact underlie individual differences, individuals with relatively poor working memory did not look at irrelevant items any more than other individuals did (but see Fukuda & Vogel, 2011, for caution).

Childbood development of working memory with selective filtering controlled. It is clear that many functions of selective attention improve throughout childhood (Rueda, 2013), although it is not always clear if the observed improvements are entirely maturational and causal or if some of them can be viewed as consequences

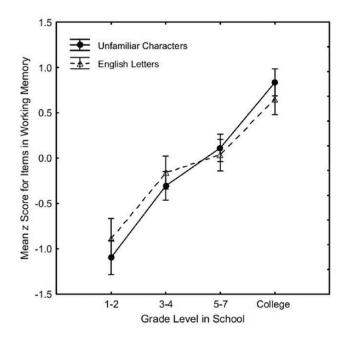


Fig. 7. Standardized scores for capacity in the unfamiliar-character and English-letter conditions of an experiment on the role of knowledge in visual working memory development at a 1-s retention interval. In terms of these scores, the two types of materials show indistinguishable rates of improvement across age groups. Most children in Grades 1–2 are 6–8 years old. Reprinted from "Knowledge Cannot Explain the Growth of Working Memory Capacity," by N. Cowan, T. J. Ricker, K. M. Clark, G. A. Hinrichs, and B. A. Glass, 2015, *Developmental Science, 18*, p. 140. Copyright 1999–2015 by Wiley.

of other developmental changes (Ristic & Enns, 2015). In any case, in several recent studies, my colleagues and I have investigated the role of attentional filtering on working memory development in childhood, as an extension of the method that Gold et al. (2006) used in adults. The results suggest that the maturation of filtering abilities cannot explain working memory capacity development in the elementary school years (Cowan, AuBuchon, Gilchrist, Ricker, & Saults, 2011; Cowan, Morey, AuBuchon, Zwilling, & Gilchrist, 2010). Cowan et al. (2010) presented arrays with two differently colored circles and two differently colored triangles or, on other trials, with three of each shape. (In other trial blocks, various numbers of objects in only one shape were presented.) The array was followed by a probe item to be judged as the same as or different from the corresponding array item. The task was placed in the context of a cover story in which each colored shape represented a child in a classroom (the array); the response was to indicate by mouse click where in the classroom the probe child belonged, or if the child did not belong anywhere in the classroom, to click the door icon to send the child to the principal. These responses yielded a rich set of conditions depending on the type of probe, but the responses also were combined later to form hits (correct indications that something changed between the probe and the array item) and false alarms (incorrect indications that something changed), allowing an application of Cowan's (2001) k formula for items in working memory.

The attention conditions of Cowan et al. (2010) varied by trial block. In different blocks, participants received one shape only, were tested on the colors of one shape on 100% of the trials, were tested on one shape 80% of the time and the other shape 20% of the time, or were tested on each of the two shapes 50% of the time. It was found that the number of items of a shape included in working memory varied systematically with the attention condition: the more likely it was that a shape would be tested, the more attention was allocated to it. For arrays with only two items in the tested shape, this allocation of attention was just as good for children in the youngest age group (6-8 years old in Grades 1 and 2) as it was for older children and adults. This can be seen in the third cluster of bars in the bottom panel of Figure 5. Yet, children in the youngest age group remembered far fewer array objects than did the older age groups (Fig. 5, top panel, third cluster of bars). This finding points to something other than filtering out of less-relevant stimuli as the basis of developmental change in working memory capacity. The pattern of results in the 80%-versus-20% condition was replicated by Cowan, AuBuchon, et al., 2011) using a slow, serial presentation of array items, with each colored shape appearing at a unique location and disappearing before the next item was presented 1 s later (Fig. 5, fourth bar cluster).

However, there was evidence in Cowan et al. (2010) that the strategic filtering broke down when the number of array objects was increased to three circles and three triangles. In that situation, children in the youngest age group showed similarly poor performance for all of the split-attention conditions (80%, 50%, and 20%), suggesting that they were no longer able to allocate attention to such a fine degree when the task of encoding items into working memory was difficult. Thus, processing and storage shared a resource, but processing efficiency was the result of a working memory difference between age groups, not the direct cause of one (for a related finding in adult individual differences, cf. Cusack, Lehmann, Veldsman, & Mitchell, 2009).

The factor of encoding and consolidation of items in working memory

Evidence regarding encoding and consolidation of working memory. Some work, going back at least to Sperling (1960) and Phillips (1974), has focused on the transfer of information from visual sensory memory into a capacity-limited type of memory. It has been observed that when a visual array is followed shortly afterward by a masking pattern, the process of entering items into working memory is disrupted. Entering items into working memory requires about 50 ms/item before a mask (Vogel, Woodman, & Luck, 2006; Woodman & Vogel, 2005). Further work showed the importance of free attention even after a mask because higher-level consolidation continued (Jolicoeur & Dell'Acqua, 1998; Ricker & Cowan, 2014). Encoding or consolidation of information into working memory could speed up with development, resulting in more represented information. It would be reasonable to worry that the finding of Cowan et al. (2010) could be the result of poorer encoding or consolidation in young children for brief arrays.

Child developmental evidence on working memory development with encoding and consolidation controlled. Cowan, AuBuchon, et al. (2011) addressed this issue of the potential developmental change in the process of encoding items rapidly into working memory by repeating the 80%-versus-20% condition of Cowan et al. (2010) but with a serial, slow, 1 item/s rate of presentation of the colored objects, with two objects of the more-often-tested and two of the less-often-tested shape. It does not appear that the speed of encoding or consolidation can explain the age difference in the number of items stored in working memory in this procedure; the pattern of results was unchanged by the slow, serial presentation. Of course, with other kinds of stimuli for which there is a large age difference in long-term memory content, a major determinant of working memory performance might well be encoding speed or efficiency.

The factor of verbal rebearsal

Evidence on the role of rehearsal. It has been clear for many years that as children grow older, beyond about 6 years, they acquire the ability to remember lists better by repeating the items or their names, either overtly or covertly when overt repetition is not practical (Flavell, Beach, & Chinsky, 1966; Ornstein, Naus, & Liberty, 1975; Tam, Jarrold, Baddeley, & Sabatos-DeVito, 2010). This seems like a potent, important contribution to working memory development. The issue addressed here, however, is whether rehearsal can account for development of what otherwise might appear to be an increase in basic storage capacity.

There is evidence that rehearsal may play a role in development. Cowan, Cartwright, Winterowd, and Sherk (1987) tested adults on spoken word span with a secondary, articulatory suppression task preventing rehearsal during the list presentation (repeatedly whispering one word during auditory list presentation) and found that span of the adults under these conditions resembled that of 5-year-old children without suppression, with reduced effects of phonological similarity between items. However, note that age differences in the phonological similarity and word length effects can be caused by psychometric scaling issues; when young children attain a lower level of performance on lists of short, phonologically dissimilar items, there is less room for further decreases to result from less favorable stimulus qualities, such as phonological similarity among the list items (Jarrold & Citroën, 2013; Jarrold & Hall, 2013). Cowan, Saults, and Morey (2006) found effects of suppression less vulnerable to the psychometric concerns because a complex pattern of results differed between 9- and 10-year old children versus adults. Suppression in the adults made the pattern change strikingly to match the children's pattern, but the adults' results nevertheless occurred at a somewhat higher performance level.

Even for nonverbal materials, it seems clear that the pattern of responding changes as rehearsal develops. For example, Hitch, Halliday, Schaafstal, and Heffernan (1991) showed that memory for line drawings of common objects changed as a verbal code came into play. Children who were 11 years old performed worse if the names of the pictured objects were long to pronounce or if they were phonologically similar to one another, making accurate rehearsal difficult. Children who were 5 years old showed the same pattern only when the task required that the picture names be pronounced or when the experimenter pronounced the names. This finding does not seem vulnerable to the aforementioned psychometric concerns because Hitch et al. adjusted their list lengths to equate performance levels among groups. In sum, then, rehearsal appears to play an important role in the development of working memory.

Often, the materials that have been used to examine visual working memory seem available for verbal rehearsal. Theoretically, for example, adults might transform an array of colored squares into their color names, albeit with some use of spatial memory to preserve the location of each color. In practice, however, given the short presentation time of each stimulus array, rehearsal does not appear to play much of a role in such circumstances. Morey and Cowan (2004) confirmed this point by administering an array memory task with several different secondary tasks during the retention interval between the array of colored squares and the test probe. Recitation of the participant's own 7-digit telephone number during the retention interval had no effect on performance, whereas recitation from memory of a justseen random 7-digit number did interfere with memory for the visual array. Morey and Cowan took this finding as evidence that the array is not transformed to a verbal form for retention but that both the visual array and a random 7-digit number require a common pool of attention for their retention (for related evidence of a common attentional resource for verbal and visual processing, see Vergauwe, Barrouillet, & Camos, 2009).

Evidence on the development of capacity with rebearsal controlled. Cowan, AuBuchon, et al. (2011) carried out several conditions of their visual array task in which the stimuli to be remembered were series of colored shapes. In one condition, the participant was to name the color of each object as it was presented. In another, the participant was to remain silent, and in an articulatory suppression condition, the participant was to say "Wait" after each object. In each age group, suppression conferred a disadvantage relative to the other two conditions, which did not differ much. The pattern of development was essentially the same in each condition: There was no age difference in the allocation of attention that favored the 80%-tested shape over the 20%-tested shape, but there was a large developmental increase in the number of items in working memory. The fifth cluster of bars in the bottom panel of Figure 5 shows that the inefficiency in performing the task caused by articulatory suppression did not differ between the groups, probably because covert rehearsal is not an important way to retain these particular stimuli. Thus, it does not appear that the contribution of verbal rehearsal can fully explain the increase in working memory capacity with age in childhood.

The factor of the reinstatement of context

Adult evidence on effects of context. In the studies illustrated in Figure 5, like many other studies, the test probe included only a single item, which was either identical to the array item that was in the same location or changed from it. One way in which this test probe theoretically might be processed is for the participant to imagine the entire array and to use that imagined array as a cue to the memory of the item in the location of the probe. Numerous adult studies have shown that there is some memory for the configuration or structure across items, in addition to memory of individual items (e.g., Brady & Tenenbaum, 2013; Jiang, Olson, & Chun, 2000; Woodman, Vecera, & Luck, 2003; Xu & Chun, 2007). The tendency to organize random arrangements-which has resulted in the naming the constellations of stars as dippers, scorpions, and so on-could contribute to good adult performance on array memory. Perhaps young children's working memory suffers from the poverty of configurational information in memory.

Child developmental evidence with context controlled. The developmental increase in visual working memory performance might occur because young children perceive the array as a collection of isolated objects, whereas adults perceive the array as a configuration. To examine this possibility, Cowan, Saults, and Clark (2015) presented arrays of colored objects (circles, tested on 80% of the trials, and triangles, tested on the remaining 20%). What distinguished this study from previous ones in this developmental series is that the probe was not always just a single colored object as in Cowan et al. (2010); in other trial blocks, the colored probe object was accompanied by markers for the locations of the remaining objects from the original array. These markers were unfilled, uncolored line drawings of the shapes that had occurred in the corresponding positions in the studied array. We hypothesized that this arrangement of stimuli in the probe display could provide a spatial-layout context that would allow first- and second-graders to catch up with older children and adults by helping them to remember the array configuration. We presented the contextual items as line drawings to avoid interference from the nontested colors, a type of interference seen previously in adults (Wheeler & Treisman, 2002).

The finding was that the contextual cues were helpful to young children but only in limited circumstances. When the critical probe item remained the same or changed to a color that was not in the studied array, the contextual cues were of no help to any age group. In other trials, however, the probe was an object that had appeared elsewhere in the studied array (i.e., the probe was the same color and shape as an object that had appeared at a different array location). The correct answer was to indicate where the probed object belonged in the studied array. In this situation, children in the first four grades of elementary school benefitted from the contextual cues, whereas older children and adults did not. Apparently, older participants have a more precise representation of the spatial layout of the studied array, and this extra context helps them locate items in the array and makes the contextual markers unnecessary to identify the location of a probe object in the array (cf. Burnett Heyes, Zokaei, van der Staaij, Bays, & Husain, 2012). In sum, although spatial configuration does improve with development, there is an important component of visual working memory development that cannot be attributed to configuration.

Development during the school years: Summary

The outcomes of the tests for confounding factors, none of which is sufficient to account for working memory development, are summarized in Table 1. It is not easy to get rid of the age difference in working memory performance during the elementary school years. The control of many possible confounding factors, one at a time, did not eliminate the age effect in memory.

Concluding Remarks

In sympathy with the neo-Piagetians, I believe that working memory development is a key aspect of cognitive growth from infancy to adulthood. Infants have the working memory necessary to begin to represent concepts and then slowly gain the abilities to represent concepts with more parts, represent themselves in relation to the concepts, or represent the context for appropriate use of the concepts (Halford et al., 2007). Within that general view, however, there is room for subtly different mechanisms. It could be that the number of attention-related slots in working memory increases with age (the number of separate chunks that can be maintained at once: Cowan, Elliott, et al., 2005), or it could be that what increases with age is attention-control-related factors that allow the slots to be filled most usefully (Kane & Engle, 2003).

To put a practical face on this theoretical distinction, consider a young child who is learning to go trick-ortreating on Halloween. The child does not automatically say the requisite utterances "Trick or treat," "Thank you," and "Goodbye." These parts are gradually learned (Berko Gleason & Weintraub, 1976). According to a capacity theory, a young child fails to complete the ritual for a reason

Table 1. Factors Other Than Working Memory Capacity That Could Account for the Childhood Development of Working Memory, Studies That Have Examined These Factors, Methods Used in These Studies, and Main Findings

Factor	Study	Method	Conclusion
1. Could more knowledge result in larger chunks, and could these chunks explain the growth of visual array memory capacity?	Cowan, Ricker, Clark, Hinrichs, and Glass (2015)	Recognition of items from visual arrays of English letters or of unfamiliar characters. Elementary school children (7–13 years) and college students.	Knowledge hypothesis disconfirmed. Excluding some of the first-grade children who did not know letters well, normalized growth in recognition was similar for English letters and unfamiliar characters.
2. Could more knowledge result in larger chunks, and could these chunks explain the growth of spoken list memory capacity?	Gilchrist, Cowan, and Naveh- Benjamin (2009)	Verbatim recall of lists of simple, unrelated spoken sentences. Access to sentences measures capacity; completion of accessed sentences shows chunking. Ages 7 and 12 years and college students.	Knowledge hypothesis disconfirmed. Even though at all ages, ~80% of words from accessed sentences were recalled (good sentence knowledge), the number of sentences at least partly recalled grew developmentally.
3. With development, could the better ability to filter out irrelevant information allow more working memory space for relevant items?	Cowan, Morey, AuBuchon, Zwilling, and Gilchrist (2010)	Recognition of items from mixed arrays. In the critical condition, 80% of trials, the color of a circle is probed; 20% of trials, the color of a triangle is probed. Children 7–8 and 12–13 years old and college students.	Filtering hypothesis disconfirmed. With only four items in an array (two circles, two triangles), participants of all age groups filtered out less-relevant shapes equally. Yet, the younger children remembered far fewer items from the arrays.
4. Could the developing ability rapidly to encode items from an array assist recognition?	Cowan, AuBuchon, Gilchrist, Ricker, and Saults (2011)	Method as in No. 3 (Cowan et al., 2010) except that items were presented one at a time at a slow, serial, 1 item/s rate. Children 7–8 and 12–13 years old and college students.	Encoding hypothesis disconfirmed. Results were the same as in No. 3, even though the potential encoding difficulty was removed.
5. Could the developing ability to rehearse nonverbal stimuli verbally allow better recognition?	Same study as above, No. 4 (Cowan, AuBuchon, Gilchrist, Ricker, & Saults, 2011)	Method as explained just above but with the need to say "Wait" after each item to interrupt rehearsal.	Rehearsal hypothesis disconfirmed. There was still a developmental difference in the number of items recognized.
6. Could the ability to reinstate the context of a recognition cue improve with age?	Cowan, Saults, and Clark (2015)	Arrays of colored squares were followed by a probe square for recognition, which was sometimes surrounded by markers of where the other squares had been. Children 7–8, 9–10, and 12–13 years old and college students.	Context hypothesis disconfirmed. Younger children benefited from the contextual markers, but only for trials in which the precision of spatial knowledge was important.

that may be similar to why the lengths of utterances in early language are short: There are not enough slots in working memory to allow more parts of a concept to be represented at once or knitted together. According to the attention control theory, on the other hand, the child may start off with every intent to say the three magic words of the ritual, but when the door opens and the child is confronted with a stranger offering candy, attention shifts and does not prevent the new features of the experience from replacing some of the magic words in working memory. Anecdotally conforming to the latter notion, last year I had the experience of opening the door to find that a young, masked child forgot to let go of the doorknob, following it rather automatically into a house in which he did not know the residents.

In hopes of inspiring future work to compare the capacity-growth versus the growth-of-control hypotheses, I would point out that an important message of this review is that there is an intrinsic interconnectedness of working memory research on humans at various developmental levels. There is a great need for increased communication between infant and child researchers. If infants and adults can be said to have equivalent chunk capacities, as a first look at the infant literature might suggest, then it seems likely that the childhood trends have to do with some other factor. In particular, I have suggested the ability to maintain the appropriate items in the attention-based part of working memory, a process that is more demanding in adultlike procedures than in infant procedures. By this hypothesis, young children's memory should be captured by inappropriate stimuli during the retention or test intervals more easily than the memory of older children or adults. However, if infants and adults do differ in true capacity, then what has been observed in children may well include a genuine increase in capacity with age in childhood. This is still a distinct possibility, consistent with one interpretation of the infant literature discussed earlier.

Regarding the interconnectedness of infant- and childbased research conclusions, it is encouraging when researchers discuss the need to bridge infant and childhood studies. Infants cannot carry out the adultlike procedure, and older children (e.g., perhaps older than 5 years) cannot perform the infant procedures without importing a host of strategies unavailable to the infants. Therefore, it may be particularly useful to adapt the infant measures for use with very young children (Keen, 2003) or to find simplifications of the adult procedures that can document a developmental increase in working memory in very early childhood, starting as young as age 3 years (cf. Simmering, 2012).

A problem with the capacity-growth hypothesis is that it comes across as a glorified null hypothesis. Thus, if confounding factors are controlled and the age difference in working memory still does not disappear, as my colleagues and I have found repeatedly, then one is tempted to conclude by default that there is a genuine age difference in capacity. In the future, this inferential method could be augmented, inasmuch as there are separate positive markers in studies of functional magnetic resonance imaging for the control of attention, dependent on frontal lobe areas (for a review, see Kane & Engle, 2002), and the indexing of items in working memory for attended items regardless of the modality of those items, more dependent on parietal lobe areas and the intraparietal sulcus specifically (Cowan, Li, et al., 2011; Majerus et al., 2014; Todd & Marois, 2004; Xu & Chun, 2006). There is evidence for the representation of the activated portion of long-term memory in association areas, with special functional connectivity between those areas (when they are relevant to the current task) and the intraparietal sulcus (Emrich, Riggall, LaRocque, & Postle, 2013; Lewis-Peacock, Drysdale, Oberauer, & Postle, 2012; Li, Christ, & Cowan, 2014). There are also related markers of the use of attention to refresh information in working memory (Raye, Johnson, Mitchell, Greene, & Johnson, 2007). There is a considerable literature on the neurological development of the frontoparietal network (e.g., Casey, Giedd, & Thomas, 2000; Clasen, Toga, Rapoport, & Thompson, 2004; Gogtay et al., 2004; Klingberg, Forssberg, & Westerberg, 2002; Scherf, Sweeney, & Luna, 2006; Sowell et al., 2003; Thomason et al., 2009), and when researchers are drawn to these more analytic issues of the mapping of different processes onto the developing brain, the capacitygrowth hypothesis can cease to be seen as only a null hypothesis.

It remains to be determined just how the growth of working memory would be combined with developing knowledge and skills to determine a child's growing potential for comprehension and problem solving. There has been some disappointment in attempts to improve children's abilities through working memory training (e.g., Melby-Lervåg & Hulme, 2013), and this might be expected until more is known about what the mechanisms of working memory development are and what role attention plays.

If researchers come to understand which principles of working memory help to govern cognitive growth, they may take an important, albeit primitive step toward better educational practices and remediation of cognitive disorders, by learning more about how much information is or is not likely to take hold in a particular child's mind in particular circumstances.

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